1 The rate and evenness of the substitutions on hyaluronan grafted by dodecanoic acid

2 influenced by the mixed-solvent composition

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10 Abstract

11 In this work, low molecular weight (17 kDa) hyaluronan was modified by dodecanoyl substituents. The activation of dodecanoic acid was mediated by benzoyl chloride towards 12 the preparation of a mixed anhydride, which reacts in a second step with HA in water mixed 13 with an organic solvent. The effect of the cosolvent was studied and showed an even 14 distribution of substituents and higher reaction rate in water:1,4-dioxane compared to 15 16 water:tert-butanol where substituents occupy adjacent positions. The chemical 17 characterization of the prepared derivatives was elucidated by NMR, FTIR spectroscopy, 18 thermal analyses, and gas chromatography, while the distribution of substituents was 19 evaluated by enzymatic degradation. Molecular-dynamics simulations reveal opposite 20 solvent separations around HA and dodecanoyl chains, that is stronger in water:tert-butanol 21 solution. The resulting incompatibility of solvation-shells of the two entities repels the 22 reaction intermediates from the HA chain and drives them towards the already bound 23 substituents, explaining the observed differences in the distribution evenness. Thus, the influence of the solvent on the reaction selectivity is observed by shielding reactive sites 24

around HA. Therefore, a control of the distribution of the substituents was obtained bydefining the concentration of HA and used cosolvent.

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28 Keywords:

- 29 Amphiphilic hyaluronan, mixed anhydrides, mixed solvent.
- 30
- 31

32 **1. Introduction**

Hyaluronan or hyaluronic acid (HA) is a naturally occurring polymer consisting of D-33 34 glucuronic acid (GlcA) and N-acetyl-D-glucosamine (GlcNAc) units linked by alternating β -(1 \rightarrow 4)- and β -(1 \rightarrow 3)- glycosidic bonds. Its highly hydrophilic nature, caused by the 35 Gibbs-free-energy lowering by constituting the HA-water interactions on account of 36 interactions between different parts of the HA chain, results in strong swelling of the HA 37 random coils in aqueous environment. Numerous experimental works [1–3] described HA 38 random coils along with the determination of their radii of gyration R_g , which corresponded 39 with our previous molecular-dynamics (MD) study [4]. The tertiary structures of oligo HA 40 and higher size chains are thermodynamically unstable in aqueous solution and are 41 considered inexistent [5,6], although some authors declared their identification [7,8]. 42 43 Intramolecular hydrogen bonds stabilize the semi-rigid nature of HA chains. Still, HA-water hydrogen bonds anchor the molecule to a well-structured solvation shell, protecting the 44 molecule from intermolecular interactions [9]. Thus, HA is soluble in water, inert to 45 interactions with other molecules and interacts with the binding sites of protein receptors 46 (hyaladherins) [10]. 47

The chemical modification of HA i.e. hydrophobization increases its shelf life and decrease 48 49 its solubility in water. Hydrophobized high molecular weight HA has been used in ophthalmology [11,12], while low molecular weight modified HA is processed for drug 50 51 delivery [13,14]. The hydrophobization of HA is usually performed in solvents such as dimethylsulfoxide (DMSO) or formamide for grafting long alkyl chain amines [15,16] or 52 53 polymers to HA [17] and uses anhydrous conditions at high temperature. However, these 54 conditions make the process expensive and are inconvenient for upscaling as HA had to be converted to either acid form or tetrabutylammonium salt (TBAHA) to become soluble in 55 56 the organic solvent. This approach increases the chain fragmentation of HA, decreases the 57 yield, and provides lower conversion due to harsh reaction conditions. For example, Wang and collaborators [18] reported the degradation of the molecular weight from 1.33×10^5 to 58 0.874×10^5 after the conversion to HATBA. Besides, Khetan and Burdick [19] mentioned 59 60 that the purified yield of the modified HA was only 65% (based on moles) of HA present in the TBAHA. Lower yield was reported also by Zerobin et al. [20]. To overcome these 61 problems, the chemical modification of HA is carried out in a mixture of organic solvents 62 miscible with water, i.e. water:DMF [21], water:isopropanol [22] or water:DMSO [23]. 63 These solvents may also bring some advantage for HA processing [24]. Despite the results 64 65 of these studies, to our knowledge no systematic MD study of HA in any mixed waterorganic solvent has been done yet. 66

A recent study shows the chemical HA modification by oleoyl residues using the mixedanhydride method in water:1,4-dioxane and water:tert-butanol (2-methyl-2-propanol) mixed solvents [25]. The solvent composition influences not only the degree of substitution, but also the regioselectivity of the reaction. Therefore, this work was conducted to investigate the analogous esterification reaction with dodecanoyl residues to extend the versatility of the solvent-controlled approach for medium-length fatty acids. To the best of our knowledge this is the first time MD simulations are performed with HA in mixed solvents to explain
how the mixed-solvent composition controls the efficiency and evenness of the esterification
reaction.

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77 2. Materials and methods

78 2.1. Materials

Low molecular weight sodium hyaluronate ($M_w = 17,000 \text{ g/mol}, M_w/M_n = 1.50$) was 79 provided by Contipro a.s, Dolní Dobrouč, Czech Republic. The weight-average molecular 80 weight (M_w) and polydispersity (M_w/M_n) were determined by SEC-MALLS before the 81 chemical modification as described previously [26,27]. Dodecanoic acid (C12, \geq 99.9 %), 82 4-(Dimethylamino)pyridine (DMAP, \geq 99 %) and tert-butanol (99.9%) were obtained from 83 Merck. Analytical grade CHROMASOLV® solvents were used for the chromatographic 84 85 analysis. Deuterium oxide (D_2O) , deuterated chloroform $(CDCl_3)$ and DMSO-D6 were 86 purchased from CortecNet (France). 1,4-dioxane (99 %), isopropanol (IPA, 99%), and sodium chloride (NaCl, 98%) were obtained from Lachner (Czech Republic). Benzoyl 87 chloride (BC \geq 99.0 %) was obtained from Sigma-Aldrich. Triethylamine (TEA, \geq 99.0 %) 88 89 were obtained from Penta, Czech Republic.

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91 **2.2.** Synthesis of sodium dodecanoyl hyaluronate (HA-C12)

In the reaction flask, sodium hyaluronate (5g, 12.5 mmol) was dissolved in distilled water
(50 mL). To that solution, 25 mL of the chosen organic solvent (tert-butanol or 1,4-dioxane)
was slowly added. After the solution was homogeneous, TEA (3.8 mL, 37.5 mmol) and
DMAP (0.076 g, 0.63 mmol) were added, then the mixture was stirred until a homogeneous
solution was obtained. In a second flask, dodecanoic acid activation was carried out.

Dodecanoic acid (3.255 g, 16.3 mmol) was dissolved in 20 mL of the organic solvent used 97 98 as in flask 1. After that, TEA (3.8 mL, 37.5 mmol) was added, followed by 2.28 g of benzoyl chloride (1.88 mL, 16.3 mmol). The formation of the mixed anhydride was carried out for 99 100 30 minutes at 25°C. Then, this solution was slowly poured to the flask containing HA. The flask 2 was washed out with 5 mL of the chosen organic solvent. The acylation reaction 101 102 proceeded for two hours at room temperature under vigorous stirring. After two hours, the 103 reaction was stopped by adding a saturated solution of NaCl. In the case of lower 104 concentration (the described solution contains 5% (w/v) HA considering only HA and the mixed solvent – this quantification is used throughout the whole text) only the total volume 105 106 of the reaction was multiplied (e.g. for 0.5% 10 times), keeping the molar equivalents of the reaction constant. The reaction product was precipitated with an excess of IPA (500 mL). 107 108 The product was washed several times with solutions of IPA:water ($85\% \text{ v/v}, 4 \times 500 \text{ mL}$). 109 Finally, the precipitate was washed two more times with 500 mL of IPA. The white powder 110 was decanted, dried in an oven at 40°C for 24 hrs.

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2.3. Determination of the degree of substitution by gas chromatography

113 The degree of substitution (DS_{GC}), i.e. the content of esters moieties (acyls), was measured 114 by GC after alkaline hydrolysis of the sample as described recently [28]. The detailed 115 description of the method is given in the Supplemetary information, section S4. The DS_{GC} 116 means the extent of HA modification by hydrophobic side groups and is calculated according 117 to the equation (1):

118
$$DS_{GC} = \frac{m_{FA,tot} - m_{FA,free}}{m_{sample}} \frac{M_{HA}}{M_{FA}} \times 100\%$$
(1)

119 where $m_{FA,tot}$ is the amount of fatty acid determined in the derivative after alkaline 120 hydrolysis, $m_{FA,free}$ is the amount of fatty acid unbound to HA chain, m_{sample} is the weight of 121 derivative, M_{HA} is molar mass of HA disaccharide (401 g/mol for sodium salt), and M_{FA} is 122 molar mass of fatty acid.

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124 **2.4. Liquid chromatography coupled to mass spectrometry**

Sample (3-5 mg) was dissolved in a mixture of 0.1M sodium phosphate buffer pH 5.0 and 125 isopropanol (1:1, v/v) at the concentration 2 mg/mL. After complete dissolution, the sample 126 127 was diluted with 0.1M sodium phosphate buffer to a final concentration of the derivative and isopropanol 1 mg mL⁻¹ and 25 % (v/v), respectively. Then, to an aliquot (1 mL) a 128 129 solution of hyaluronan lyase from Streptococcus Pneumoniae (SpHyl, 25 µL, approx. 6500 IU/mL) was added and incubated at 37°C for 2 h. The sample was diluted to 20 µg mL⁻¹ 130 131 with 30% methanol in water (v/v) and analyzed by LC-MS. An Acquity UPLC I-class chromatographic system connected to Synapt G2-Si mass spectrometer (Waters, 132 133 Manchester, UK) was used for structural characterization of HA-C12. The separation was performed on Waters Acquity UPLC Protein BEH C4 column (1.7 µm, 300Å, 150×2.1 mm) 134 135 at 40°C. The chromatographic eluent consisted of 0.1% formic acid in water and acetonitrile. Flow rate was set at 0.4 mL·min⁻¹ and the following gradient of acetonitrile was applied: 0 136 137 min 30 %, 16.5 min 95 %, 17 min 95 %, 17.1 min 30 %. The injection volume was 5 µL. The mass spectrometer was equipped with an electrospray ionization source operating in 138 negative ion mode. Sodium formate (0.5 mmol L⁻¹ in water/isopropanol = 10/90, v/v) was 139 used for instrument calibration in the m/z range 50–1850. Instrumental parameters for C12-140 modified oligosaccharide analysis were set as follows (unless otherwise stated): spray 141 capillary voltage 2.7 kV, source temperature 100 °C, sampling cone voltage 140 V, source 142 offset 80 V, desolvation temperature 500 °C, cone gas flow (N₂) 50 L h⁻¹, desolvation gas 143

144 flow (N_2) 850 L h⁻¹, nebulizer gas flow (N_2) 6.0 bar, trap collision voltage 4.0 V, transfer

collision voltage 2.0 V, trap gas flow (Ar) 2.0 mL min⁻¹, helium cell gas flow (He) 180.0 145 mL min⁻¹, ion mobility spectrometry gas (drift gas) flow (N₂) 90 mL min⁻¹ and wave height 146 40.0 V. Ion mobility wave velocity was set at 500 m s⁻¹. Leucine enkephalin (200 ng mL⁻¹) 147 at flow rate 5 μ L min⁻¹ was used as a lock mass. Mass chromatograms belonging to 148 unsaturated di-, tri- and tetrasaccharides modified with 1, 2 and 3 acyl chains (m/z 939.3822 149 for $_{\Delta}$ HA4-1×C12; *m/z* 1121.5492 for $_{\Delta}$ HA4-2×C12; *m/z* 1303.7163 for $_{\Delta}$ HA4-3×C12; *m/z* 150 151 560.2707 for Δ HA2-1×C12; *m/z* 736.3031 for Δ HA3-1×C12) were extracted and integrated. The extraction window was 0.02 amu. The percentage of each modified oligosaccharide in 152 153 the mixture was calculated by equation (2).

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$$w(\Delta HAx - i \times C12) = 100 \times \frac{A(\Delta HAx - i \times C12)}{\sum_{i=1}^{3} A(\Delta HAx - i \times C12)}$$
(2)

155 $w(_{\Delta}HAx-i\times C12)$ is the percentage of peak area belonging to one specific oligosaccharide in 156 the sum of peak areas belonging to all other detected oligosaccharides. $_{\Delta}HAx$ can be $_{\Delta}HA4$, 157 $_{\Delta}HA2$ and $_{\Delta}HA3$. The amount of other unsaturated modified oligosaccharides (mainly 158 $_{\Delta}HA2-1\times C12$, $_{\Delta}HA3-1\times C12$) was low (≤ 5%). Therefore, they are included neither in the 159 results section nor in eq. (2). Acquired data were processed with MassLynx 4.1 software 160 (Waters, Milford, MA, USA).

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162 **2.5.** Molecular-dynamics (MD) simulations

All MD simulations were carried out by NAMD 2.10 program package [29]. CHARMM 36 force field containing the parts for saccharide molecules [30] and fatty acids [31] was used for the both HA molecule and the saturated aliphatic chains, CGenFF topology and parameter files were used for the 1,4-dioxane and tert-butanol molecules, TIP3P model of water was applied. The force field of N-dodecanoyl-4-(dimethylamino)-pyridinium cation

 $(C_{12}$ -DMAP⁺) and triethylammonium (TEA⁺) ions were constructed using CHARMM-GUI 168 169 Ligand Reader & Modeler [32]. This instrument was also used to obtain the ester-bond parameters between HA (C6 carbon of GlcNAc) and the 12-carbon aliphatic sidechain (C_{12}), 170 171 while the topology of this link was adopted from an analogously acetylated HA molecule constructed by the CHARMM-GUI Glycan Modeler [33] (none part of the CHARMM-GUI 172 173 package can process the whole substituted HA molecule). Two configurations of substituted 174 HA molecules were considered – with the substituent at the end or in the middle of the chain, i.e. on the GlcNAc residue number 1 or 11 (out of 20 residues), respectively, from the non-175 reducing end. Formulas of all the simulated molecules are given in Fig. S11. 176

177 The preparation of the simulated systems started by the equilibration of the boxes of pure mixed solvent. HA was then wrapped by these boxes to form the complete simulation box 178 of about 100Å edge. The energy of each system was minimized for 5400 fs prior to the MD 179 180 simulation. Integration was performed by the Verlet-I/r-RESPA MTS method with the slowforce mollification, a timestep of 1 fs for bonding and 2 fs for non-bonding interactions and 181 182 10Å cutoff of non-bonding interactions was used. Full electrostatic calculations were performed every 6th fs using the Particle Mesh Ewald (PME) method. Simulations were 183 184 carried out in NPT system at the constant pressure of 1 atm and selected temperature for 200 185 ns. The pressure was controlled using the Langevin piston Nosé-Hoover method and the temperature was controlled using Langevin dynamics. 186

HA oligosaccharides of 20 monosaccharide units were simulated in 7 different media: pure water and three compositions of water:1,4-dioxane and water:tert-butanol solutions. In both cases, the volume to volume ratios were defined as 2:1, 1:1 and 1:2 (organic-component volume fractions 0.33, 0.50, 0.67), at 310 K and 277 K. Every simulation contains 10 Na⁺ ions compensating the negative charge of HA. Other HA-oligosaccharides simulations were performed in the presence of 5 C_{12} -DMAP⁺ and 5 TEA⁺ ions in the mixed solvents of water:1,4-dioxane and water:tert-butanol of organic-component volume fraction 0.5 at 277 K and 310 K. C_{12} -substituted HA oligosaccharides were simulated in the presence of either 10 Na⁺ or 10 C_{12} -DMAP⁺ ions at 298 K in both solvents. For composition details of the systems see **Table S3**.

197 The simulation results were visualized, and specific analyses, especially the determinations of radial distribution functions (RDFs), were carried out using the VMD program [34]. The 198 number of atoms of a given molecule within a distance of 4 Å from a selected entity, HA or 199 the aliphatic chain, hereinafter called number of close atoms, was evaluated as a descriptor 200 of the closest part of the solvation shell of the entity. In case of the C_{12} -DMAP⁺ reactants 201 202 number of whole molecules having at least one atom in equally defined area was evaluated 203 (hereinafter called number of close molecules). The output quantities were averaged within the equilibrated time interval 50-200 ns and the standard deviations of the mean were 204 determined (for all the quantities it is lower than the symbols, therefore it is not shown in 205 206 the graphs).

207

208 **3. Results**

209

3.1. Synthesis of dodecanoic acid grafted to hyaluronan (HA-C12)

The activation of dodecanoic acid is mediated by benzoyl chloride (BC) and yields a mixed aliphatic-aromatic anhydride in organic solvents miscible with water, i.e., tetrahydrofuran, 1,4-dioxane, isopropyl alcohol or tert-butanol. The mixed anhydride reacts in the next step with the hydroxyl moieties of HA, yielding esterified HA (**Scheme 1**). However, the solvent mixture drastically influences the selectivity of the reaction towards the substitution at the primary and/or secondary hydroxyl moieties. While HA acylation carried out in DMSO was reported to be more selective for short fatty acids [35], theselectivity for medium fatty acids is still unknown.

Several factors influence the degree of chemical modification and the distribution of substituents: polarity of the reaction media, the molar ratio of reagents, and the hydrophobicity of the fatty acid (ligand), the M_w of HA used for the chemical modification and the concentration of the reaction feed components.

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223



224 Scheme 1. The esterification of HA by the mixed anhydride method is mediated by 4-225 dimethylamino pyridine and carried out in a mixed water:organic solvent system. R 226 = $-C_{11}H_{23}$ (undecanoyl).

Even though water-insoluble high molecular weight dodecanoyl derivatives of hyaluronan can be used to prepare thin films [36]. It is necessary to develop water-soluble derivatives for drug delivery [37]. It is worth mentioning that the structural characterization of derivatives is preferred by using low molecular weight HA due to the higher reaction efficiency and solubility of the conjugates. The results showed that the polymer concentration in the reaction feed drastically changes the conjugate's solubility probably due to self-aggregation (**Table 1**). The derivatives prepared at low concentration (0.5-1.5 % of HA in the reaction feed) were fully water soluble (lower turbidity and higher transmittance, $T \ge 65\%$). Contrary, the derivatives prepared at higher concentration (2.5-5%) produced highly viscous solutions or were insoluble in water due to the uneven substitution.

Fig. 1 depicts the ¹H NMR spectrum of HA-C12 recorded in NaOD (see the 237 238 methodical description in section S1). NaOD was added to the sample, mixed and the spectra 239 were acquired immediately to avoid the possible hydrolysis of HA, but the spectral 240 resolution was strongly improved due to the *in situ* hydrolysis of substituents. On the 241 contrary, the ¹H NMR spectrum of HA-C12 recorded in D₂O derivatives presented a 242 broadening of the signals due to self-aggregation (see Figs. S1, S2). The degrees of substitution measured in NaOD and determined by NMR are in a good agreement with the 243 ones determined by gas chromatography (Fig. 1.). All the spectra show typical proton 244 245 chemical shifts of HA involving signal at 2.0 ppm belonging to -NCOCH₃ group, skeletal 246 signals at 3.4–3.9 and anomeric resonances at 4.4–4.6 ppm. The ¹³C-DEPT-HSQC NMR 247 shows the remaining signals at 0.8, 1.3, 1.6 and 2.4 ppm, which were attributed to CH₃, and 248 CH₂ (Fig. S3). Furthermore, the signals located at 7.6 (triplet), 7.5 (triplet) and at 7.9 (doublet of doublets) ppm, respectively reveal the benzoylation of hyaluronan. The signals 249 250 located at 3.7 and 3.9 corresponding to the methylene protons C6 (GlcNAc) deshielded to 4.2 and 4.5 ppm in D_2O_2 , respectively due to the esterification. Interestingly, the signals 251 252 corresponding to the diasterotopic methylene protons in position C6, upfield to 2.78 and 3.11 ppm in NaOD. Several signals in HA backbone become double due to the esterification, but 253 254 they were overlapped. Therefore, the enzymatic fragmentation of HA-C12 reveals the substitution positions (section 3.2). 255

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Fig. 1. ¹H NMR of HA-C12 measured in NaOD. DS values are in %.

260 The formation of a covalent bond between dodecanoic acid and HA was further established by diffusion ordered NMR spectroscopy (DOSY) as depicted in Fig. 2a. The 261 262 signals coming from the same molecule have the same diffusion coefficient. Because of the 263 marked difference between the diffusion coefficients of the low molecular weight dodecanoic acid and HA, the DOSY map could easily establish the presence of non-264 attached fatty acid groups to HA, which obviously diffuse much faster than the bound acyl 265 266 groups as compared to free dodecanoic acid (Fig. 2b). DOSY experiment showed similar diffusion behaviour for all signals (except for residual isopropanol and the standard of 267 (trimethylsilyl)propionic-2,2,3,3-d4 acid sodium salt, which presented a higher diffusion), 268 thus, indicated that all of the proton resonances in this region belonged to one structural 269 270 complex (HA-C12).

The dodecanoyl moieties present the same diffusion behaviour as HA (which confirmed the formation of a covalent bond between the fatty acid and HA. In addition, it can be well recognized that the diffusion of the methyl substituent of C12, which is located at 0.8 ppm presented even a slower diffusion (i.e. the signal is placed at larger $-\log D$ values along y axis). The restricted mobility in D₂O is explained by self-aggregation of the conjugate.

The aggregation phenomenon was confirmed acquiring DOSY in D₂O with 0.9% (w/v) of NaCl. The kosmotropic salt made all the molecule appear even "larger" due to slower diffusion, similar to reported earlier [38]. This means that there is aggregation between the hydrophobic acyl substituents that it is leading to slower diffusion. Furthermore, the critical aggregation concentration (CAC) for the derivative (HA-C12) confirmed the self-aggregation. CAC was determined by Nile red encapsulation and was found to be ~ 0.02 mg/mL (section S2, Fig. S4).

The formation of the ester bond was further evidenced by infrared spectroscopy (section S3). The esterification was confirmed by the peak at 1729 cm⁻¹, as reported earlier (Huerta-Ángeles et al., 2020). The signals at 2924 and 2835 cm⁻¹ corresponded to the asymmetric and symmetric vibrations of *C*-*H* due to the presence of the alkyl moiety as substituent, which were more prominent compared to HA used for chemical modification (**Fig S5**).

Furthermore, the purity of the samples was determined by GC (section S4) and thermal analyses (Table S2). The purity was given by the absence of free dodecanoic and benzoic acids (Fig. S6), and determination of ash and dry matter (Fig. S7-S9).

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Fig. 2. (a) DOSY NMR of HA-C12 measured in D₂O (red) and blue (0.9% NaCl)
corresponding to sample Table 1, entry 6. (b) Lauric acid measured in CDCl₃

Table 1. Effect of the concentration of HA on the synthesis of HA-C12 in 1,4-dioxane or
tert-butanol. The esterification reaction was performed using LMW-HA (17,000 g/mol) and
1.3 eq. of activated dodecanoic acid for 2 hours at 25°C.

Entry	Conc. HA ^a	DS _{GC} ^b	NTU ^c	T (%) ^d		
	(g/L)	(%)				
1,4-dioxane						
1	5	7.1±0.01	1.1±0.05	99.6±0.003		
2	10	14.5±0.02	1.3±0.07	99.2±0.019		
3	15	20.4±0.02	1.7±0.52	99.4±0.003		
4	25	28.0±0.1	20.3±0.32	64.4±0.015		
5	30	30.7±0.2	48.4±0.28	37.6±0.069		
6	50	36.8±0.4	82.1±1.02	24.7±0.032		
tert-butanol						
7	5	7.4±0.1	0.7±0.05	95.4±0.003		
8	25	20.9±0.2	2.2±0.13	93.3±0.003		
9	50	9.8±0.1	2.7±0.04	94.4±0.003		

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^aConcentration of HA used for the chemical modification (w/v)

^bDS_{GC} is the average of three independent determinations

°NTU stands for nephelometric turbidity, see section S5.

- dTransmittance was determined by UV-Vis at 660 nm in 1% (w/v) solution.
- 306

Even though it is expected that the esterification reactions tend not to reach completion, **Table 1** shows that 1,4-dioxane was able to produce ~30% conversion at high concentration of HA. Contrary, the conversion of HA to HA-C12 in alcohols (tert-butanol) was not efficient. Esterifying multiple hydroxyls on a disaccharide provides an additional challenge due to steric hindrance between neighboring alkyl moieties.

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313 **3.2.** Enzymatic degradation for the determination of the distribution of

314 substituents

315	The solubility of the derivative can be explained in terms of even distribution of the
316	synthesized derivatives. Unsaturated C12-modified tetrasaccharides (Δ HA4-1×C12, Δ HA4-
317	$2 \times C12$, $\Delta HA4-3 \times C12$) are the main SpHyl degradation products of HA-C12 prepared in
318	both 1,4-dioxane and tert-butanol. Extracted ion chromatograms of stated species present in
319	HA-C12 prepared in 1,4-dioxane or tert-butanol are shown in Fig. S10. The amount of
320	Δ HA4-1×C12 decreases while Δ HA4-2×C12 and Δ HA4-3×C12 increase with increasing
321	DS (Fig. 3). At similar DS, the reactions carried out in tert-butanol showed a high percentage
322	of di- and trisubstituted tetrasaccharides. Interestingly, the substitution predominantly takes
323	place at C4 and C6 of one GlcNAc, which can be only observed after enzymatic degradation.
324	The derivative containing a higher amount of Δ HA4-1×C12 had an even distribution of acyl
325	chains and is more soluble in water. Notably, the combination of 1,4-dioxane and diluted
326	conditions produced these derivatives.



Fig. 3. The amounts of mono- (A), di- (B) or trisubstituted (C) unsaturated tetrasaccharides
plotted against DSGC. Derivatives prepared in 1,4-dioxane and tert-butanol are showed in
orange and green, respectively. The esterification reaction was performed using HA (17,000
g/mol) and 1.3 eq of activated C12 for 2 hours at 25°C.

333 3.3. Separation of solvent components around the free and substituted HA

334 oligosaccharides

335 In order to explain the different properties of the substitution reaction in the different 336 mixed solvents, 200ns MD simulations of both free and C12-monosubstituted HA chains of 337 20 monosaccharide units were simulated in both kinds of the mixed solvents of the organiccomponent volume fraction of 0.5. Equilibration of these simulations is demonstrated by the 338 339 stable values of the numbers of close atoms of individual solvent components (for definition see section 2.5.) from the whole molecules and from the substituents (Fig. S12) and also of 340 the interaction energies between the aliphatic sidechain and the solvent components (Fig. 341 342 **S13**). Simulations of non-substituted HA molecules were carried out also at the temperatures of 277 K and 310 K and for the organic-component volume fractions of 0.33, 0.5 and 0.66. 343 The RDFs for the volume fraction of 0.5 are shown in Fig. 4 and show a strong solvent 344 separation in the vicinity of the HA chain reaching the distance of about 25 Å. 345



Fig. 4. RDFs of the solvent components of the 50% (v/v) mixed solvents water:1,4-dioxane
(denoted w:d) and water:tert-butanol (w:t) for 277 K and 310 K.

In both the mixed solvents the HA solvation shell is enriched by water and deprived of the 349 350 organic component relative to the bulk solvent. Obviously, the separation is remarkably stronger in the water:tert-butanol mixture (see a simulation snapshot in Fig. S14), where the 351 maximum water concentration, occurring at the distance of 8 Å, is about 1.2 higher than the 352 bulk concentration compared to the value of about 1.06 for water:1,4-dioxane. 353 354 Simultaneously, analogous ratios for the organic component in the same distance are 0.52 355 and 0.68, respectively. Interestingly, the temperature dependence of the solvent separation is low and is slightly stronger at 277 K. A comparison of the close-atoms numbers of the 356 solvent components (Fig. S15) shows that the solvent separations are consistent throughout 357 358 the studied composition range. Moreover, the strong attractive interaction between HA and water is also supported by the quadratic dependence, with higher slope for water:tert-359 360 butanol, of relative enrichment of the close solvation shell by water calculated as the ratio 361 of the close-atoms number and its projected bulk value (Fig. S16).

As the solvent separation around the free HA molecules showed a small temperature dependence, the simulation of substituted HA was carried out at 298 K. The radial distribution functions (RDFs) of the individual solvent components around the HA chain are shown in **Fig. 5** for the substituent located in the middle of the HA chain.



Fig. 5. RDFs of the solvent components in the 50% (v/v) mixed solvents water: 1,4-dioxane 367 368 (orange) and water:tert-butanol (green) and pure water (blue) around the C_{12} -substituted 369 HA molecules. A: RDFs at the HA chain itself for 298 K and substituent in the middle. B: Numbers of close atoms (within the 4Å distance from HA, indicated by the grey background 370 in panel A) of water (left) and organic component (right) for both substituent positions and 371 free HA molecules (simulated at different temperatures). Violet frames and arrows indicate 372 373 the system shown in panel A. C and D: Same as A and B, but for the substituent instead of 374 HA chain.

375 Obviously, the solvent distribution around HA is very similar to that at the free HA chains.

- The numbers of close atoms in **Fig. 5B** indicate a negligible dependence of the HA solvation-
- 377 shell structure on the substituent position, at the end or in the middle of the chain.

Analogously, RDFs of the solvent components around the substituent, i.e. the C_{12} aliphatic sidechain, were evaluated (**Fig. 5C**, position in the middle of HA chain, 298 K). They show a completely opposite solvent separation than at the HA chain. The solvation shell of the sidechain is strongly deprived of water and enriched by the organic component whose concentration reaches its maximum at about 6 Å from the sidechain and then relaxes towards its bulk value reaching it approx. at 12 Å.

384 In water:tert-butanol mixture the solvent separation is remarkably stronger than in water:1,4-dioxane. The ratios of the water and organic-component close atoms numbers at 385 the sidechain (Fig. 5D) are 3.3 and 1.2, respectively (bulk solvent value 0.86), again almost 386 387 independently of the substituent position. In addition, the RDFs in Fig. S17B show that the concentration maximum of tert-butanol methyl-carbon atoms is closer to the aliphatic 388 sidechain than that of the oxygen atoms by approx. 1.5 Å which agrees with the distance of 389 these atoms in the tert-butanol molecule. The amphiphilic character of tert-butanol 390 391 molecules thus causes their strong preferential orientation by the methyl groups towards the 392 aliphatic sidechain, while at the HA chain no such orientation takes place (Fig. S17A). On 393 the contrary, RDFs for 1,4-dioxane carbon and oxygen atoms show significant difference 394 neither at HA nor at the aliphatic sidechain (Fig. S17) indicating the random orientation of 395 these molecules.

Similar solvent separation was observed also around the aliphatic chain of the C_{12} -DMAP⁺ reactant – see the comparison with the sidechain bound on HA in **Fig. S18**. The small differences of the distribution functions are caused rather by the different surroundings of the C_{12} chain in the two cases that necessarily influences the RDFs at the longer distances.

400

401 3.4. Separation of solvent components around the free and substituted HA 402 oligosaccharides

Free and C_{12} -substituted HA oligosaccharides were simulated in the presence of the reaction intermediates (C_{12} -DMAP⁺) to evaluate the influence of the mixed solvents on the course of the esterification. The free HA chains were simulated together with 5 C_{12} -DMAP⁺ molecules. Furthermore, 5 TEA⁺ molecules were added to make the environment closer to the experimental conditions. The simulation was carried out in 50% (v/v) mixed solvents at 277 K and 310 K.



410 Fig. 6. RDFs of C₁₂-DMAP⁺ around HA molecules at 277 K (left) and 310 K (right) for
411 water:1,4-dioxane (up) and water:tert:butanol (down) for the whole molecule, the head
412 (carbon atoms of the DMAP heterocycle) and tail (last five carbon atoms of the sidechain).
413 Inset – numbers of close atoms of C₁₂-DMAP⁺ at HA within the region indicated in grey.

414

RDFs in Fig. 6 show an essential difference between the two mixed solvents. In water:1,4-415 416 dioxane the distributions are almost independent of temperature, only in the closest area the number of C_{12} -DMAP⁺ atoms is somewhat higher (Fig. 6, inset) at the lower temperature 417 indicating the disturbance of the attractive interaction by the thermal motion. This indicates 418 an essentially enthalpic stabilization of C_{12} -DMAP⁺ at HA by the electrostatic interaction 419 between the positive and negative charges of C_{12} -DMAP⁺ and HA, respectively. In 420 water:tert-butanol, on the contrary, the occurrence of C_{12} -DMAP⁺ in the HA vicinity is very 421 422 rare at 277 K while at 310 K it grows substantially indicating an entropy-based stabilization of this state. It indicates that in this solvent the approach of C₁₂-DMAP⁺ to HA requires a 423 certain restructuring of the solvation shells of HA and C₁₂-DMAP⁺ supported by the higher 424 temperature. Its deeper understanding, however, needs more targeted MD simulations and 425 426 will be a matter of further research.

427

428 **3.5.** Interactions of the reaction intermediates with substituted HA oligosaccharides

Substituted HA chains were simulated in both 50% (v/v) mixed solutions in the presence of 10 C_{12} -DMAP⁺ molecules at 298 K. The C_{12} -DMAP⁺ distributions around HA and the sidechain are well equilibrated within the 200ns simulations – see the time development of the numbers of close C_{12} -DMAP⁺ molecules in **Fig. S19**.



434 *Fig.* 7. *RDFs* of the C_{12} -*DMAP*⁺ molecules, 50% (v/v) water:1,4-dioxane (upper quartet) **435** and water:tert-butanol (lower quartet), 298 K, for individual parts of the HA molecule **436** substituted in the middle of the chain. In every quartet, grey-framed plots are related to the **437** end parts of the HA chain (residues 1-6 and 15-20), red-framed to the central part of HA **438** (residues 7-14) bearing the substituent and violet-framed to the substituent itself. For better

439 comparability, all graphs have equal scales, details of the closer parts of the RDFs are440 shown in the insets.

RDFs of the C₁₂-DMAP⁺ molecules were calculated separately around individual "thirds" 441 442 of the HA chain containing first 6, middle 8 and last 6 monosaccharide residues (Fig. 7) as well as around the substituent itself. In water:1,4-dioxane the situation at individual parts of 443 HA is practically equal and independent of the substituent position. The C₁₂-DMAP⁺ 444 445 molecules are rather strongly electrostatically attracted to the HA chain which not only increases their concentration at HA, but also causes the preferential orientation with the 446 positively charged head (DMAP⁺ moiety) towards this molecule – see the RDFs of head and 447 448 tail (last 5 carbons of the aliphatic chain) atoms in Fig. 7. On the contrary, in water:tertbutanol the C₁₂-DMAP⁺ molecules are far less attracted to HA in the substituent-free parts 449 of the molecule, consistently with the non-substituted HA chains. Close to the substituent, 450 however, the number of C_{12} -DMAP⁺ is remarkably higher. It indicates that the aliphatic 451 sidechain disturbs the water-rich solvation shell of HA, being itself wrapped by tert-butanol 452 molecules, and thus allows C_{12} -DMAP⁺ to approach the HA chain. This phenomenon is 453 more pronounced for the substituent located in the middle of the HA chain, since at the end 454 (Fig. S20) the aliphatic chain may stick aside of the HA chain diminishing thus its positive 455 456 effect on the reactants approach.

457

458 **4. Discussion**

The promising potential of the mixed solvents to control the degree of substitution of the esterification of HA, as well as the distribution of the substituents along the chain, was shown recently on the case of oleoyl residues [25]. To investigate the universality of these findings, esterification of HA by dodecanoyl residues was carried out by the same

methodology in the same mixed solvents, water:1,4-dioxane and water:tert-butanol in the 463 464 volume ratio 1:1. The observed trends reproduced those of the previous study. Remarkably higher degree of substitution was reached in water:1,4-dioxane as well as its growth along 465 466 with the concentration of the reactants in the reaction feed. While in water: 1,4-dioxane the distribution of the substituents was relatively even, in water:tert-butanol clustering of the 467 468 substituents, resulting in more frequent occurrence of multiply substituted oligosaccharides 469 after cleaving HA enzymatically, was observed. The agreement of both these studies indicates that the influence of the mixed solvents on the reaction course is general for various 470 kinds of non-polar aliphatic substituents. The solvent composition affects especially the 471 472 approach of the reactants to the HA chain. An investigation of this phenomenon was based on MD simulations of non-substituted and substituted HA molecules both in pure mixed 473 474 solvents and in the presence of the reaction intermediates.

MD simulations were carried out for 200 ns and this time was sufficient for the 475 476 equilibration of all the distributions of molecules as well as the interaction energies (Figs. 477 S12, S13, S19) since the systems only contain small molecules without internal motions with high energetic barriers. Compared to water:1,4-dioxane solution, water:tert-butanol mixture 478 is more prone to solvent separation at both the hydrophilic HA molecule and the aliphatic 479 480 sidechain (Fig. 4, 5). In the vicinity of HA both the organic components are repelled from 481 the solvation shell, but they differ in the intensity of the effect and in the radius of the zone 482 where the solvent composition differs from the bulk value. For instance, if the bulk ratio the organic to water atoms is 1, in the closest area it is only about 0,30 for tert-butanol and 0,43 483 484 for 1,4-dioxane and in the point of maximum water concentration 0,44 and 0,70, 485 respectively. Hence, the solvation shell in water:tert-butanol is enriched by water remarkably more than in water:1,4-dioxane. Moreover, the radius of the water-enriched zone is much 486 wider for tert-butanol reaching the bulk value at approx. 34 Å in contrast to 24 Å. If the 487

solvation shell is considered as a cylinder wrapping the HA molecule, the simulated HA 488 489 molecule repels approx. 170 tert-butanol- and attracts 700 water molecules, while for the water: 1,4-dioxane solution these numbers are only 50 and 240, respectively. On the contrary, 490 491 the solvation shell of the aliphatic sidechain of the substituted HA molecule is enriched by the less polar organic component (Fig. 5). Although the long-distance parts of the 492 493 distribution functions are biased by the reverse solvent separation around HA, the ratios of 494 the numbers of close atoms of the organic component and water -3.3 for water:tert-butanol, 1.2 for water:1,4-dioxane, 0.86 for bulk – support the apparent fact of stronger solvent 495 separation in water:tert-butanol. Amphiphilic tert-butanol molecules are uniquely oriented 496 497 by their methyl groups towards the aliphatic chain by hydrophobic interactions. Accordingly, this mixture is also more prone to components micro-separation (Fig. S21) 498 499 reported previously by [39].

500 As shown in **Table 1**, the reaction rate, and thus also the DS, initially grows with the 501 concentration of both the reactants, HA and the activated dodecanoic acid, in accord with 502 the standard kinetic control. It was, however, shown that it is only valid below the coil overlap point of the polymer. This point was reported to be at concentration ~ 0.86 wt.% for 503 LMW-HA (MW≥ 37,000 g/mol) in water [40,41]. As the HA chains fill the solution, the 504 505 reaction rate is affected by steric effect of the coils (at concentration ≥ 0.86 wt.%). Moreover, the viscosity of the reaction mixture influences the rate of reaction, as well as the solubility 506 507 of the mixed anhydride. In the mixed solvents the separation of their components may further 508 contribute to the decrease of the reaction efficiency observed especially in water:tert-butanol 509 above the HA concentration of 25 g/l in the reaction feed. Considering the solvation shell as 510 a cylinder of a mean radius of 35 Å inferred from MD, its formally calculated volume at the 511 HA concentration of 25 g/l is about 1.4-times higher than the whole volume of the solution. This situation likely leads to concentration of HA in water-rich zones while C₁₂-DMAP⁺ 512

513 remains in the tert-butanol enriched areas having thus limited access to HA. In water:1,4-514 dioxane this effect plays only a minor role since the solvation shell is narrower and the 515 solvent separation weaker, which makes the rate decrease rather small.

MD simulations show that for the C_{12} -DMAP⁺ reactants, even at low HA 516 517 concentration, it is more difficult to approach HA in water:tert-butanol solution. It is, therefore, not surprising that the efficiency of the reaction measured by DS is lower here 518 than in water:1,4-dioxane. At 298 K, the occurrence of the C_{12} -DMAP⁺ head in the close 519 area at HA in the latter solvent is, in average, about 3-4 times lower which roughly 520 corresponds with the observed difference in DS. Although the temperature dependence of 521 522 the reaction efficiency was not investigated experimentally, it can be expected that in 523 water: 1,4-dioxane the temperature effect will not be strong. With the temperature growth the number of reactant molecules attacking HA slightly decreases which may, on the other hand, 524 be compensated by a higher rate of the reaction step itself. In water:tert-butanol, however, 525 526 the number of reactants approaching HA grows quite rapidly with the temperature (Fig. 6) 527 which could lead to the overall reaction-rate increase.

528 The evenness of the substituent distribution on the HA chain was investigated by enzymatic cleavage of HA-C12 by SpHyl. The fraction of monosubstituted fragments is 529 530 higher in water: 1,4-dioxane (Fig. 3), while the fraction of bi- and trisubstituted oligomers is significantly higher in the water:tert-butanol mixture. This observation agrees with the MD 531 simulations showing that in the water:tert-butanol solution the C_{12} -DMAP⁺ ions are very 532 hardly able to approach HA except for the close neighborhood of the already bound 533 534 substituent. This preference is particularly strong at the substituent bound in the middle of 535 the HA chain, a location dominating when longer chains are used. Therefore, the aliphatic sidechain probably serves as an entering gate for C_{12} -DMAP⁺ in water:tert-butanol while in 536 water:1,4-dioxane its influence on the subsequent substitutions is negligible. 537

539 **5.** Conclusions

540 The composition of mixed media of water and organic solvent have been shown to be a promising factor determining the efficiency of the mixed-anhydride based esterification 541 reaction on hyaluronic acid and the evenness of the distribution of the substituents along the 542 chain. In water: 1,4-dioxane solution the substitution of HA by dodecanoyl residues provided 543 544 higher degree of substitution as well as more even substituents distribution than in water:tert-545 butanol, both in the volume ratio 1:1. MD simulations of non-substituted and substituted HA 546 molecules in the mixed solvents showed stronger water-enriched solvation shell of HA in water:tert-butanol forming a higher barrier for the approach of the reactant C₁₂-DMAP⁺ to 547 HA and thus causing a lower reaction rate in this solvent and even its rapid decrease at higher 548 549 HA concentration in the reaction feed. However, an opposite solvent separation around the 550 aliphatic chain enables the approach of C_{12} -DMAP⁺ to HA in the proximity of an already 551 bound substituent which may cause the clustering of substituents observed in water:tert-552 butanol. The MD results thus explain the experimental observations, consistent also with the previous study with oleoyl substituents [25], on the molecular level and open a way to the 553 systematic investigation and utilization of the mixed solvents on the substitution reactions 554 555 on polysaccharides.

556

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